

## 客户证券常设授权 (客户证券及客户款项)

本常设授权书，根据《证券及期货(客户证券)规则》第7条的规定，授权贵公司：

1. 运用任何本人/我们的证券或证券抵押品于证券借贷协议；
2. 将任何本人/我们的证券抵押品存放于认可的金融机构，作为提供给贵公司的财务通融的抵押品；及/或
3. 将任何本人/我们的证券抵押品存放于认可的结算所或其他持牌或注册进行证券交易的中介人，作为解除和清偿贵公司在交收上的责任及债务的抵押品，而无须通知本人。

本常设授权函件涵盖一切由贵公司代表本人/我们购买或持有之证券。

本人/我们明白认可结算所或其他获发牌或获注册进行证券交易的中介人，将因应贵公司在交收上的责任及债务而对本人的证券抵押品设置为第一固定抵押权。

本授权书并不涉及就贵公司借、贷或存放本人任何证券而须支付或收取的任何代价。任何代价均须由本人/我们与贵公司另行签约订明。

贵公司须向本人/我们就交还有关根据本常设授权而作出借入、贷款或存放之证券负责。

本人/我们明白本人/我们的证券可能受制于第三者之权利，贵公司必须于抵偿该等权利后，方将本人/我们的证券交还本人/我们。

### 根据《证券及期货(客户款项)规则》(香港法例第571I章)(《客户款项规则》)设立的常设授权：

客户藉本常设授权书授权本公司不需事先通知或取得的确认及/或指示可酌情动用款项的全部或部份作为買賣海外證券之用、符合交收或按金要求及其他用途：

- i. 將任何數額之款項支付/轉往客戶於本公司的帳戶及/或任何海外經紀人及/或結算公司的期貨帳戶及其繼承人及受讓人以作客戶買賣海外證券之用或符合交收或按金的要求(如適用)；及/或
- ii. 從本公司在香港或其他地方設立之一或多個獨立帳戶及在任何海外經紀人及/或結算公司獨立帳戶或於該等帳戶之間來回調動；及/或
- iii. 訂立外幣兌換合約，適用於當日或之前為了購買海外期貨合約之用或符合交收或按金的要求(如適用)而需要將資金轉換為其他貨幣，此等兌換合約按本公司慣例並以市場匯率訂立。訂約時間由本公司酌情決定。

除本常設授權書於到期前被撤回外，本常設授權書有效期內，本常設授權書涵蓋本公司收取或持有並存放於任何帳戶內有關外地交易的款項(包括因持有並非屬於本公司的款項的產生之任何利息)，每次續期可有效十二(12)個月，道口證券于该授权有效期届满前不少于十四(14)日向本人/吾等发出书面通知，而本人/吾等于该授权有效期届满前未有提出反对续期，则此授权将视为毋须本人/吾等书面同意而按继续续期十二(12)個月。

本人/吾等明白，本人/吾等可给予道口證券至少七(7)个交易日的预先书面通知，撤销此授权。但是，道口證券有绝对酌情决定权将撤销授权通知书视为于矩阵證券收讫时随即生效。

本人/吾等承诺就道口證券因为根据本常设授权而行事而招致或蒙受的所有成本、开支、负债、损失或损害赔偿，向道口證券有限公司作出弥偿。

道口證券已将本常设授权全部向本人/吾等解释清楚，我/我们明白并接受本函件的内容。

簽署 \_\_\_\_\_

帳戶號碼 \_\_\_\_\_

姓名 \_\_\_\_\_

簽署日期 \_\_\_\_\_

## Standing Authorization (Client Securities and Client Money)

Pursuant to Section 7 of the Securities and Futures (Client Securities) Rules, this letter authorizes you to:

1. apply any of my/our securities or securities collateral to a securities borrowing and lending agreement(s);
2. deposit any of my/our securities collateral with an authorized financial institution as collateral for financial accommodation provided to you; and/or
3. deposit any of my/our securities collateral with a recognized clearing house or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of your settlement obligations and liabilities, without notice to me/us.

This letter of Standing Authority covers all securities purchased or held by you on my/our behalf.

I/We understand that the recognized clearing house or the intermediary licensed or registered for dealing in securities will have a first fixed charge over my securities collateral to the extent of your settlement obligations and liabilities.

This standing authority does not cover any consideration I/we must pay or be paid for your borrowing, lending, or depositing any of my securities. Any consideration must be set in a separate agreement between us.

You are accountable to me/us for the return of any securities borrowed, lent, or deposited under this authority.

I/We understand that a third party may have rights to my securities, which you must satisfy before my securities can be returned to me.

### Permanent authorization established under the Securities and Futures (Client Money) Rules (Hong Kong Legislation Chapter 571D) ("Client Money Rules"):

The Client authorizes the Company to use the Standing authority to transfer all of the money at its discretion without prior notice or confirmation and/or instructions. Or part of the sale and purchase of overseas securities, compliance with settlement or deposit requirements and or other purposes:

- i. To pay/transfer any sum of Monies to the Client' s securities account held with the Company, any overseas broker(s) and/or overseas clearing firm(s) and their successors and assigns for the purpose of trading or meeting the settlement or margin requirement (if applicable) of the client' s overseas securities transactions;
- ii. To transfer Monies interchangeably between the segregated account(s) opened and maintained by the Company in Hong Kong and the segregated account(s) opened and maintained by the Company with any overseas broker(s) and/or overseas clearing firm(s) outside Hong Kong ; and/or
- iii. To enter into foreign exchange contracts necessary to facilitate the purchase or meeting the settlement or margin requirement (if applicable) of the overseas securities on or before the day when funds are required to be converted into other currencies for payment at market rates and in accordance with the Company' s normal practice. The timing will be at the Company' s sole discretion.

Unless this standing authorization is revoked before its expiration, this standing authorization is valid during its term and covers the funds received or held by our company relating to foreign transactions (including any interest arising from holding funds not belonging to our company) that are kept in any account. Each renewal is effective for twelve (12) months. DaoKou Securities will send a written notice to me/us at least fourteen (14) days before the expiration of the authorization, and if I/us do not object to the renewal before the expiration of the authorization, this authorization will be deemed to be renewed for an additional twelve (12) months without my/our written consent.

I/We understand that I/We can provide DaoKou Securities with at least seven (7) trading days' written notice in advance to revoke this authorization. However, DaoKou Securities has the absolute discretion to treat the revocation notice as effective immediately upon receipt by DaoKou Securities.

I/We undertake to indemnify Matrix Securities Limited for all costs, expenses, liabilities, losses, or damages incurred or suffered by DaoKou Securities as a result of acting under this standing authorization.

DaoKou Securities has fully explained this permanent authorization to me/us, and I/we understand and accept the content of this letter.

A/C Holder' s Signature: \_\_\_\_\_

Account No.: \_\_\_\_\_

A/C Holder' s Name: \_\_\_\_\_

Signing Date: \_\_\_\_\_